

12-12-01

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J1044 U.S. PTO  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9525
First Inventor	Tanja Ligensa et al.
Title	IGF-1 Receptor Interacting Proteins
Express Mail Label No.	EL912160928US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 39]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
- Oath or Declaration [Total Pages     ]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☒ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☒ paper
  - ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/453,195  
 Prior application information: Examiner J. Andres Group Art Unit. 1646

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

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Name					
Address					
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Name (Print/Type)	<u>F. Aaron Dubberley</u>	Registration No. (Attorney/Agent)	<u>41001</u>
Signature	<u>F. Aaron Dubberley</u>	Date	<u>10/30/2001</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JCS58 U.S. PTO  
10/30/01

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110  
Case Docket 9525  
October 30, 2001

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): Tanja Ligensa, Ralf Schumacher, Michael Weidner

For: **IGF-1 RECEPTOR INTERACTING PROTEINS**

1. The fee has been calculated as shown below:

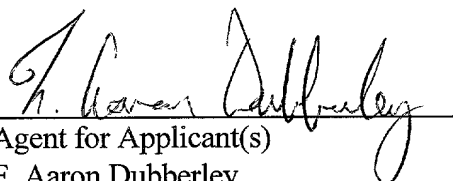
CLAIMS				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	14 - 20	0	x \$18	---
INDEP. CLAIMS	3 - 3	0	x \$84	---
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$280	
BASIC FEE				\$740
TOTAL				<u>\$ 740</u>

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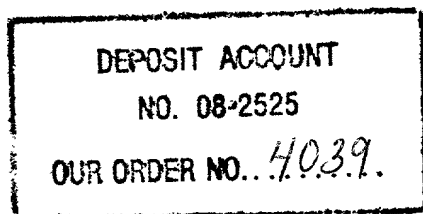
The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525, including those under 37 C.F.R. § 1.16 and 1.17. This sheet is provided in duplicate.

Continue to address all communications to:

George W. Johnston  
Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110

  
Agent for Applicant(s)  
F. Aaron Dubberley  
(Reg. No. 41001)

115111



**Certification under 37 CFR 1.10**

I hereby certify that this form and all documents cited on this form are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number:

EL912160928 US

addressed to: **Commissioner for Patents**  
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on October 30, 2001

Date

  
Signature

Marta Swaryczewsky

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 9525 US: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification, Sequence Listing, Diskette, Statement Under 37 CFR 1.821 or 1.825, Drawings, Information Disclosure Statement with PTO Form 1449

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Ligensa et al.

Art Unit:

Examiner:

Case Docket 9525

(CIP of Serial No. 09/453,195, filed December 2, 1999)

For: **IGF-1 RECEPTOR INTERACTING PROTEINS**

**STATEMENT**  
**UNDER 37 CFR §1.821 or §1.825**

Nutley, New Jersey 07110  
October 30, 2001

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that:

X The contents of the paper Sequence Listing and computer readable Sequence Listing submitted herewith are the same. (37 CFR §1.821(f))

— The contents of the paper Sequence Listing and computer readable Sequence Listing submitted herewith are the same. No new matter is included. (37 CFR §1.821(f) & (g))

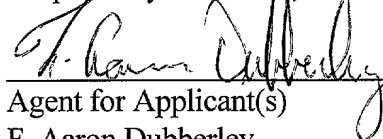
Each of the substituted sheets of the paper Sequence Listing submitted herewith is supported in the captioned application. No new matter is included. (37 CFR §1.825(a))

The contents of the substitute paper Sequence Listing and substitute computer readable Sequence Listing submitted herewith are the same. No new matter is included. (37 CFR §1.825(a) & (b))

The substitute computer readable Sequence Listing submitted herewith is identical to that originally filed. (37 CFR §1.825(d))

No computer readable Sequence Listing is being submitted herewith. The content of the paper Sequence Listing submitted herewith is identical to the content of the compliant computer readable Sequence Listing filed \_\_\_\_\_ in US Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_. Applicants request the use of the above compliant computer readable Sequence Listing in the instant application.

Respectfully submitted,



Agent for Applicant(s)

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